

Individual Customer Due Diligence (CDD) Form

Please complete all fields in English and in block capitals. Fields marked (optional) may be left blank.

Part I – Client Information

First Name	
Middle Name (optional)	
Last Name	
Full Name in Native Alphabet Required if your native name is not written in the Latin alphabet	
Date of Birth DD/MM/YYYY – must match the date of birth in your passport	DD / MM / YYYY
Citizenship Must match the passport submitted	
Email	
Phone Number Including country code	
Residential Address – Street Address Must match the proof of address submitted	
Residential Address – Country	
Tax Identification Number Swiss social security number (AHV/AVS number) for Swiss tax residents; foreign tax identification number (TIN), if applicable or available under the laws of the relevant jurisdiction, for non-Swiss tax residents	

U.S. tax status Are you a U.S. tax resident (including a U.S. citizen, lawful permanent resident (green card holder) or otherwise a U.S. person under applicable U.S. tax laws)?	☐ No ☐ Yes
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Part II – Financial Profile

What is your current occupation or principal business activity?

If applicable, please provide the name of your employer, business or professional practice.

What are your primary sources of income?

E.g. salary, business income, dividends, interest, professional fees, rental income.

What are your primary sources of wealth?

E.g. employment income accumulated over time, business ownership, investments, inheritance, gifts, sale of assets.

Part III – Business Relationship Information

Which jurisdictions will your transactions primarily involve?

Primary purpose(s) of the business relationship

Select all that apply

- ☐ Personal investment or asset management
- ☐ Business or commercial transactions
- ☐ Cross-border fund transfers or settlement
- ☐ Digital asset related activities
- ☐ Other (please specify): _____

Expected initial transaction – Currency	☐ CHF ☐ EUR ☐ USD ☐ Other: _____
Expected initial transaction – Amount	
Estimated monthly transaction volume	☐ Below USD 10,000 (or equivalent) ☐ USD 10,000 – 50,000 ☐ USD 50,000 – 250,000 ☐ Above USD 250,000
Expected transaction frequency	☐ Occasionally ☐ 1–5 transactions per month ☐ 6–20 transactions per month ☐ More than 20 transactions per month

Are you acting on behalf of another person or entity, or conducting transactions for the benefit of another person or entity?

☐ No ☐ Yes If yes, please provide details below

Part IV – Compliance Information

Are you a Politically Exposed Person (PEP), a Head of an International Organisation (HIO), or a family member or close associate of such a person, as defined in Art. 2a AMLA and the VQF Regulations?

☐ No ☐ Yes If yes, please provide details below

Have you ever conducted business activities in, resided in, spent significant time in, or otherwise had material dealings or connections with any jurisdiction subject to sanctions, trade restrictions or special economic measures imposed by Switzerland under the Embargo Act and its ordinances, or by the United Nations, the European Union, the United Kingdom or the United States?

This includes, but is not limited to: Belarus, Burundi, Central African Republic, Cuba, Democratic Republic of the Congo, Haiti, Iran, Iraq, Lebanon, Libya, Moldova, Myanmar, Nicaragua, North Korea, Russia, Somalia, South Sudan, Sudan, Syria, Venezuela, Yemen, Zimbabwe, or any other jurisdiction subject to Swiss sanctions at the relevant time.

☐ No ☐ Yes If yes, please provide details below

Have you ever been subject to any investigation, inquiry, subpoena, enforcement action, administrative penalty or sanction in connection with anti-money laundering, counter-terrorist financing, sanctions compliance or other financial regulatory matters by any of the following authorities?

- Money Laundering Reporting Office Switzerland (MROS)
- Swiss Federal Office of Police (fedpol) or cantonal police and prosecution authorities
- Federal Office for Customs and Border Security (FOCBS)
- Swiss Financial Market Supervisory Authority (FINMA)
- State Secretariat for Economic Affairs (SECO) (in relation to economic sanctions or export controls)
- United Nations Security Council Sanctions Committees, as implemented under Swiss law
- Any equivalent authority in another jurisdiction

☐ No ☐ Yes If yes, please provide details below

Part V – Bank Account for Fiat Settlement

Please provide details of the bank account you intend to use for fiat settlement with Montezy GmbH. The bank account must be held in the applicant's own name. Third-party accounts are not accepted.

Account Holder Name	
Account Holder Address	
Bank Name	
Bank Address	
Bank Country	
Account Number / IBAN	
SWIFT / BIC	

Part VI – Documents to Attach

No.	Document to attach	Attached
1	Clear colour scan of a valid government-issued photo identification document (passport, national identity card or driving licence).	☐
2	Verification photo: a clear colour photo of the applicant holding (i) the identification document and (ii) a handwritten note with the word "Montezy" and the current date (DD/MM/YYYY). Face, document and note must all be clearly legible.	☐
3	Proof of address issued within the last three months (e.g. bank statement, lease agreement, utility bill or equivalent document).	☐

4	Bank verification document (bank statement or online banking screenshot showing the bank name, account holder name and account number). The account holder name must match the applicant's name.	☐
5	Tax identification: Swiss AHV/AVS number for Swiss tax residents; foreign tax identification number (TIN) for non-Swiss tax residents, where applicable.	☐

Part VII – Applicable Law and Data Protection

This form is completed in accordance with the Swiss Federal Act on Combating Money Laundering and Terrorist Financing (Anti-Money Laundering Act, AMLA), the Anti-Money Laundering Ordinance (AMLO), the Regulations of the Self-Regulatory Organisation VQF (VQF Regulations), and applicable Swiss sanctions law (Embargo Act) and criminal law.

Montezy GmbH may collect, use, verify, disclose and retain personal information, compliance-related information and supporting documentation provided in connection with this CDD process for the purposes of identity verification, compliance reviews, sanctions screening, anti-money laundering and counter-terrorist financing compliance, risk management, transaction monitoring, regulatory reporting and other lawful compliance purposes, in accordance with applicable law (including the Swiss Federal Act on Data Protection) and its internal data protection and confidentiality policies.

Part VIII – Certification and Authorisation

I hereby certify, represent, acknowledge and authorise that:

- 1 I am applying to establish a business relationship with Montezy GmbH in my own name and for my own account, and not as a fiduciary, nominee, intermediary or agent acting on behalf of any third party, unless otherwise disclosed in writing to Montezy GmbH;
- 2 All information and materials provided in connection with this form are true, correct, accurate and complete to the best of my knowledge and belief, and I will inform Montezy GmbH without delay of any change;
- 3 Montezy GmbH and its compliance representatives, agents, service providers and compliance vendors (including Dudit for identity verification and screening, and ChainStream for blockchain analytics) are authorised to conduct identity verification, sanctions screening, politically exposed person (PEP) screening, adverse media searches, background checks, blockchain analytics reviews, wallet verification procedures, regulatory status reviews and other compliance or due diligence procedures as may be reasonably required under applicable laws, regulations, internal compliance policies or risk management procedures;
- 4 Montezy GmbH is authorised, where reasonably necessary for compliance purposes, to verify information, wallet ownership, transaction history and supporting documentation provided by me with relevant third parties, databases, financial institutions, blockchain analytics providers, public registries or governmental authorities.

Full Name	Date
Signature	Place